

# David Belotte, CFA

## Chief Compliance Officer

David Belotte is the Chief Compliance Officer of Thrivent Advisor Network, the independent registered investment advisor (RIA) subsidiary of Thrivent. David is a financial professional with a proven track record of working with retail and institutional clients to help them grow and protect their businesses. Since January 2020, he has served as Chief Compliance Officer for Thrivent Advisor Network, a registered investment advisor (RIA) comprised of more than 25 affiliated financial advisory firms managing \$6.5 billion in client assets.

In his current role, David leads all aspects of compliance for Thrivent Advisor Network and works with its community of independent advisors to ease the burden of successfully navigating the regulatory environment. His goal is to allow advisors as much flexibility as possible to run their business and meet their clients' needs, while ensuring that they and Thrivent Advisor Network meet all regulatory requirements.

David has more than 20 years of financial services experience, including a deep understanding of trading, compliance, brokerage operations, and customer service needs. While in high school, he got an early start in the financial industry by participating in an externship program at J.P. Morgan in New York City. That led to a position as a registered sales assistant at Smith Barney/Citigroup Global Markets while he was in college, and subsequent roles in portfolio management for Prudential Financial and brokerage operations for E\*TRADE Financial.

Since 2010, David has led compliance teams, as Deputy Chief Compliance Officer for Triad Advisors, CCO for Triad Hybrid Solutions, and CCO of ACG Wealth. His compliance roles have instilled in David a deep understanding of the issues and challenges independent advisors face in managing their business, and the critical requirement to put their clients' best interests at the center of all decision making. He is fascinated by technology and excited to see how fin-tech will continue to shape the financial industry in the years ahead.

David has a Bachelor of Business Administration degree in finance and economics from Baruch College. In addition to numerous FINRA licenses, he is a Chartered Financial Analyst (CFA).